

**BEFORE THE
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION**

In the Matter of:

**H.I.S. LLC dba Hill Installation
Services,**

Respondent.

**Docket No. FMCSA-2009-0173¹
(Eastern Service Center)**

ORDER ON BINDING ARBITRATION

1. Background

On March 17, 2009, the Maryland Division Administrator for the Federal Motor Carrier Safety Administration (FMCSA) issued a Notice of Claim (NOC) to Respondent, H.I.S., LLC dba Hill Installation Services, with a proposed civil penalty of \$6,800, for six alleged violations of the Federal Motor Carrier Safety Regulations (FMCSRs).

Specifically, the NOC, which based on a compliance review conducted on February 24, 2009, charged Respondent with: a) two violations of 49 CFR 391.45(a)/391.11(a), with a proposed civil penalty of \$1,870 per count, for using a driver not medically examined and certified; b) two violations of 49 CFR 392.9a(a)(1), with a proposed civil penalty of \$750 per count, for operating without the required operating authority; and c) two violations of 49 CFR 396.11(a), with a proposed civil penalty of \$780 per count, for failing to require a driver to prepare a driver vehicle inspection report.²

Respondent replied to the NOC on April 21, 2009, admitting the violations and

¹ The prior case number of this matter was MD-2009-0053-US1263.

² See Attachment 2 to Field Administrator's letter of June 10, 2009, concerning request for binding arbitration (Claimant's Consent).

requesting arbitration with regard to the amount of the penalty.³ On June 10, 2009, Claimant, the Field Administrator for the Eastern Service Center, stated he did not object to the request. The request is granted.

2. Procedures

The arbitration process will be conducted pursuant to FMCSA's published guidance on this subject.⁴ The parties will work together to select an arbitrator and establish procedures that will govern the binding arbitration. A representative of FMCSA will contact Respondent to answer any questions it may have about the binding arbitration process, including the choosing of an arbitrator.

a. Options in Choosing the Arbitrator

The parties may choose an arbitrator from the following sources:

1. Civilian Board of Contract Appeals Judges or representatives from other government agencies who have been trained in arbitration;
2. Uncompensated neutral parties from local communities; or
3. Compensated neutral parties from outside the government, whose costs are to be shared by agreement of the parties.

The selected arbitrator will assist the parties in developing procedures and preparing an Arbitration Agreement.

b. Arbitration Format

Respondent may have attorney or non-attorney representation, or it may appear *pro se*; that is, it may represent itself in the arbitration proceedings. With the consent of

³ See Attachment 1 to Claimant's Consent.

⁴ See *Guidance for the Use of Binding Arbitration under the Administrative Dispute Resolution Act of 1996*, Docket No. FMCSA-2003-14794, 69 Fed. Reg. 10288, March 4, 2004.

both parties, the arbitrator may conduct hearings in person or by telephone, video conferencing, or computer.

Each party will present evidence supporting the penalty and terms of payment it considers appropriate. The evidence may not contest whether or not the violation occurred because Respondent has conceded the violation as a condition of arbitration. Neither written submissions nor oral argument may contain any reference to the amount of the civil penalty proposed by the party. At a time specified by the arbitrator, each party will present to the arbitrator and to the opposing party a sealed envelope containing the amount of its total proposed civil penalty and a proposed payment plan. Before opening the envelopes, the arbitrator will determine the appropriate civil penalty and payment plan based upon the evidence presented during the proceeding. The arbitrator will provide his or her determinations in writing to the parties. The arbitrator will then open the envelopes and select the civil penalty and payment plan that is closer to the arbitrator's determinations. The arbitrator has the discretion to select one party's proposed total civil penalty and the other party's proposed payment plan.

c. Maximum and Minimum Penalty Amounts

The parties may not propose an amount higher than the amount assessed in the NOC. There is no minimum statutory penalty for the violation.

d. Limited Appeals

The arbitration award is binding on the parties. Appeals from arbitration awards are generally limited to fraud or misconduct in the proceedings. *See* Federal Arbitration Act, 9 U.S.C. § 10.

It Is So Ordered.



Rose A. McMurray

Assistant Administrator

Federal Motor Carrier Safety Administration

11.10.09

Date

CERTIFICATE OF SERVICE

This is to certify that on this 12 day of November, 2009, the undersigned mailed or delivered, as specified, the designated number of copies of the foregoing document to the persons listed below.

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The signature is written in blue ink and appears to be 'Janice Miller'.